

| General information about company | |
|--|---------------------------|
| Scrip code | 526983 |
| Name of the company | ASHOKA REFINERIES LIMITED |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 30-09-2016 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |

| Sr. No. | Particular | Yes/No |
|---------|--|--------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No |
| 7 | Whether company has equity shares with differential voting rights? | No |

| Table I - Summary Statement holding of specified securities | | | | | | | | | | | |
|---|--------------------------------|----------------------------|--|--|---|--|---|---|------------|---------|-------------------------|
| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of (A+B+C) |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| (A) | Promoter & Promoter Group | 29 | 1154900 | | | 1154900 | 33.95 | 1154900 | | 1154900 | 33.95 |
| (B) | Public | 2708 | 2247000 | | | 2247000 | 66.05 | 2247000 | | 2247000 | 66.05 |
| (C) | Non Promoter-Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 2737 | 3401900 | | | 3401900 | 100 | 3401900 | | 3401900 | 100 |

| Category (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|--------------|------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |

| | | | | | | | | | | |
|------|--------------------------------|--|--|--|-------|--|--|--|--|---------|
| (A) | Promoter & Promoter Group | | | | 33.95 | | | | | 973800 |
| (B) | Public | | | | 66.05 | | | | | 156000 |
| (C) | Non Promoter-Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | | | | 100 | | | | | 1129800 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
|---|---|----------------------------|--|--|---|--|---|---|------------|---------|-------------------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of Total Voting rights |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 29 | 1154900 | | | 1154900 | 33.95 | 1154900 | | 1154900 | 33.95 |
| Sub-Total (A)(1) | | 29 | 1154900 | | | 1154900 | 33.95 | 1154900 | | 1154900 | 33.95 |
| (2) | Foreign | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) | | 29 | 1154900 | | | 1154900 | 33.95 | 1154900 | | 1154900 | 33.95 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | |
| (1) | Institutions | | | | | | | | | | |
| (f) | Financial Institutions/Banks | 1 | 10000 | | | 10000 | 0.29 | 10000 | | 10000 | 0.29 |
| Sub-Total (B)(1) | | 1 | 10000 | | | 10000 | 0.29 | 10000 | | 10000 | 0.29 |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 2668 | 1961200 | | | 1961200 | 57.65 | 1961200 | | 1961200 | 57.65 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 7 | 216800 | | | 216800 | 6.37 | 216800 | | 216800 | 6.37 |
| (e) | Any Other (specify) | 32 | 59000 | | | 59000 | 1.73 | 59000 | | 59000 | 1.73 |
| Sub-Total (B)(3) | | 2707 | 2237000 | | | 2237000 | 65.76 | 2237000 | | 2237000 | 65.76 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | 2708 | 2247000 | | | 2247000 | 66.05 | 2247000 | | 2247000 | 66.05 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | |
| Total (A+B+C2) | | 2737 | 3401900 | | | 3401900 | 100 | 3401900 | | 3401900 | 100 |
| Total (A+B+C) | | 2737 | 3401900 | | | 3401900 | 100 | 3401900 | | 3401900 | 100 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|---|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | |
| (1) | Indian | | | | | | | | |
| (a) | | | | 33.95 | | | | | 973800 |
| Sub-Total (A)(1) | | | | 33.95 | | | | | 973800 |
| (2) | Foreign | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) | | | | 33.95 | | | | | 973800 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | |
| (1) | Institutions | | | | | | | | |
| (f) | | | | 0.29 | | | | | 0 |
| Sub-Total (B)(1) | | | | 0.29 | | | | | 0 |
| (3) | Non-institutions | | | | | | | | |
| (a(i)) | | | | 57.65 | | | | | 130500 |
| (a(ii)) | | | | 6.37 | | | | | 0 |
| (e) | | | | 1.73 | | | | | 25500 |
| Sub-Total (B)(3) | | | | 65.76 | | | | | 156000 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | | | 66.05 | | | | | 156000 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | |
| Total (A+B+C2) | | | | 100 | | | | | 1129800 |
| Total (A+B+C) | | | | 100 | | | | | 1129800 |

| Serial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
|---|----------------|---------------|----------------|---------------------|--------------------|--------------------|---------------------|
| Name of the Shareholders (I) | Ajay Choudhary | Alok Awadhiya | Alok Choudhari | Arun Kumar Tamrakar | Avdresh Kumar Jain | Ganesh Kumar Yadav | Gokul Prasad Sharma |
| PAN (II) | ACAPC4988K | ADUPA2308N | ACAPC4989J | ABLPT6104C | AEQPJ5922A | ABBPY3116B | AZSPS2440K |
| No. of fully paid up equity shares held (IV) | 33000 | 31400 | 29300 | 32000 | 32900 | 2900 | 30600 |
| No. Of Partly paid-up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 33000 | 31400 | 29300 | 32000 | 32900 | 2900 | 30600 |
| Shareholding as a % of total no. | 0.97 | 0.92 | 0.86 | 0.94 | 0.97 | 0.09 | 0.9 |

| | | | | | | | |
|--|-------|-------|-------|-------|-------|------|-------|
| of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | | | | | | | |
| Number of Voting Rights held in each class of securities (IX) | | | | | | | |
| Class eg:X | 33000 | 31400 | 29300 | 32000 | 32900 | 2900 | 30600 |
| Class eg:y | | | | | | | |
| Total | 33000 | 31400 | 29300 | 32000 | 32900 | 2900 | 30600 |
| Total as a % of Total Voting rights | 0.97 | 0.92 | 0.86 | 0.94 | 0.97 | 0.09 | 0.9 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 0.97 | 0.92 | 0.86 | 0.94 | 0.97 | 0.09 | 0.9 |
| Number of Locked in shares (XII) | | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 33000 | 31400 | 29300 | 32000 | 32900 | 2900 | 30600 |
| Reason for not providing PAN | | | | | | | |
| Reason for not providing PAN | | | | | | | |

| Searial No. | 8 | 9 | 10 | 11 | 12 | 13 | 14 |
|---|-----------------|-----------------------|--------------|----------------|------------------------|---------------|---------------------|
| Name of the Shareholders (I) | Himmat Lal Sahu | Himmatlal Sahu & Sons | K S N Murthy | Kishore Atlani | Mahesh Kodwani(h.u.f.) | Mansoor Ahmed | Nilesh N Budhbhatti |
| PAN (II) | AJGPS0285G | AABHH4894J | AJDPM3978R | AFPPA2707Q | AACHM1698A | ACPPA2767D | ADEPB9222E |
| No. of fully paid up equity shares held (IV) | 29200 | 30000 | 31000 | 109500 | 31300 | 7500 | 30000 |
| No. Of Partly paid-up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 29200 | 30000 | 31000 | 109500 | 31300 | 7500 | 30000 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0.86 | 0.88 | 0.91 | 3.22 | 0.92 | 0.22 | 0.88 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | | |

| | | | | | | | |
|--|-------|-------|-------|--------|-------|------|-------|
| Class eg:X | 29200 | 30000 | 31000 | 109500 | 31300 | 7500 | 30000 |
| Class eg:y | | | | | | | |
| Total | 29200 | 30000 | 31000 | 109500 | 31300 | 7500 | 30000 |
| Total as a % of Total Voting rights | 0.86 | 0.88 | 0.91 | 3.22 | 0.92 | 0.22 | 0.88 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 0.86 | 0.88 | 0.91 | 3.22 | 0.92 | 0.22 | 0.88 |
| Number of Locked in shares (XII) | | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 29200 | 30000 | 31000 | 109500 | 0 | 7500 | 30000 |
| Reason for not providing PAN | | | | | | | |
| Reason for not providing PAN | | | | | | | |

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|---|----------------|---------------|--------------|--------------|--------------------|----------------------|--------------|
| Serial No. | 15 | 16 | 17 | 18 | 19 | 20 | 21 |
| Name of the Shareholders (I) | Pramod Vaswani | Rajesh Atlani | Ravi Vaswani | Reeta Atlani | Sana Rajesh Atlani | Satyaprakash Agrawal | Shabir Memon |
| PAN (II) | ABKPV6236G | AFSPA0406P | ABJPV7300E | AFJPA1714K | AFPPA2706R | ACJPA2821N | AINPM6007E |
| No. of fully paid up equity shares held (IV) | 28600 | 110000 | 30000 | 141000 | 124900 | 30800 | 8000 |
| No. Of Partly paid-up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+(V)+(VI) | 28600 | 110000 | 30000 | 141000 | 124900 | 30800 | 8000 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0.84 | 3.23 | 0.88 | 4.14 | 3.67 | 0.91 | 0.24 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | | |
| Class eg:X | 28600 | 110000 | 30000 | 141000 | 124900 | 30800 | 8000 |
| Class eg:y | | | | | | | |
| Total | 28600 | 110000 | 30000 | 141000 | 124900 | 30800 | 8000 |

| | | | | | | | |
|--|------|--------|------|--------|--------|-------|------|
| Total as a % of Total Voting rights | 0.84 | 3.23 | 0.88 | 4.14 | 3.67 | 0.91 | 0.24 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 0.84 | 3.23 | 0.88 | 4.14 | 3.67 | 0.91 | 0.24 |
| Number of Locked in shares (XII) | | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 0 | 110000 | 0 | 141000 | 124900 | 30800 | 8000 |
| Reason for not providing PAN | | | | | | | |
| Reason for not providing PAN | | | | | | | |

| Searial No. | 22 | 23 | 24 | 25 | 26 | 27 | 28 |
|---|--------------------------|--------------------|--------------|---------------------|-----------------------|------------------|-------------------------|
| Name of the Shareholders (I) | Shri Bharti Devi Kodwani | Sri Mahesh Kodwani | Sudhir Dixit | Sudhir Singh Mourya | Surendra Singh Sandhu | Umesh Kumar Sahu | Vishwajeet Singh Thakur |
| PAN (II) | AHQPK3341M | AEWPK6747G | AERPD1612A | ANDPM3727C | AOHPS6647C | AKEPS0202Q | ADMPT1747J |
| No. of fully paid up equity shares held (IV) | 30500 | 30000 | 25000 | 30700 | 30000 | 32800 | 32000 |
| No. Of Partly paid-up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 30500 | 30000 | 25000 | 30700 | 30000 | 32800 | 32000 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0.9 | 0.88 | 0.73 | 0.9 | 0.88 | 0.96 | 0.94 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | | |
| Class eg:X | 30500 | 30000 | 25000 | 30700 | 30000 | 32800 | 32000 |
| Class eg:y | | | | | | | |
| Total | 30500 | 30000 | 25000 | 30700 | 30000 | 32800 | 32000 |
| Total as a % of Total Voting rights | 0.9 | 0.88 | 0.73 | 0.9 | 0.88 | 0.96 | 0.94 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |

| | | | | | | | |
|--|-----|------|-------|-----|-------|-------|-------|
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 0.9 | 0.88 | 0.73 | 0.9 | 0.88 | 0.96 | 0.94 |
| Number of Locked in shares (XII) | | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | | | | |
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 0 | 0 | 25000 | 0 | 30000 | 32800 | 32000 |
| Reason for not providing PAN | | | | | | | |
| Reason for not providing PAN | | | | | | | |

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|--|------------------|---------------------------------------|
| Searial No. | 29 | |
| Name of the Shareholders (I) | Yewan Kumar Sahu | Click here to go back |
| PAN (II) | ATSPS0932J | Total |
| No. of fully paid up equity shares held (IV) | 10000 | 1154900 |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 10000 | 1154900 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0.29 | 33.95 |
| Class eg:X | 10000 | 1154900 |
| Class eg:y | | |
| Total | 10000 | 1154900 |
| Total as a % of Total Voting rights | 0.29 | 33.95 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 0.29 | 33.95 |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of equity shares held in dematerialized form (XIV) | 10000 | 973800 |
| Reason for not providing PAN | | |

| | | | | |
|-----------------------------------|------------------|------------------|---------------------|--|
| Searial No. | 1 | 2 | 3 | |
| Category | Bodies Corporate | Clearing Members | Foreign Individuals | |
| Category / More than 1 percentage | Category | Category | Category | |

| | | | | |
|--|-------|-------|-------|---------------------------------------|
| Name of the Shareholders (I) | | | | Click here to go back |
| PAN (II) | | | | Total |
| No. of the Shareholders (I) | 16 | 1 | 15 | 32 |
| No. of fully paid up equity shares held (IV) | 21900 | 11100 | 26000 | 59000 |
| No. Of Partly paid-up equity shares held (V) | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | |
| Total nos. shares held (VII) = (IV)+(V)+ (VI) | 21900 | 11100 | 26000 | 59000 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0.64 | 0.33 | 0.76 | 1.73 |
| Class eg: X | 21900 | 11100 | 26000 | 59000 |
| Class eg:y | | | | |
| Total | 21900 | 11100 | 26000 | 59000 |
| Total as a % of Total Voting rights | 0.64 | 0.33 | 0.76 | 1.73 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 0.64 | 0.33 | 0.76 | 1.73 |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |
| Number of equity shares held in dematerialized form (XIV) | 14400 | 11100 | 0 | 25500 |
| Reason for not providing PAN | | | | |