

Introductory sub-table (I)(a)

Name of the Company: ASHOKA REFINERIES LIMITED

Scrip Code, Name of the scrip, class of security: 526983, ASHOKA REFINERIES LIMITED, EQUITY

Quarter ended: 30/09/2014

| Partly paid-up shares:- | No. of partly paid-up shares | As a % of total no. of partly paid-up shares | As a % of total no. of shares of the company |
|---------------------------------|------------------------------|--|--|
| Held by promoter/promoter group | 0 | 0 | 0 |
| Held by public | 0 | 0 | 0 |
| Total | 0 | 0 | 0 |

| Outstanding convertible securities:- | No. of outstanding securities | As a % of total no. of outstanding convertible securities | As a % of total no. of shares of the company, assuming full conversion of the convertible securities |
|--------------------------------------|-------------------------------|---|--|
| Held by promoter/promoter group | 0 | 0 | 0 |
| Held by public | 0 | 0 | 0 |
| Total | 0 | 0 | 0 |

| Warrants:- | No. of warrants | As a % of total no. of warrants | As a % of total no. of shares of the company, assuming full conversion of warrants |
|---------------------------------|-----------------|---------------------------------|--|
| Held by promoter/promoter group | 0 | 0 | 0 |
| Held by public | 0 | 0 | 0 |
| Total | 0 | 0 | 0 |

Total paid-up capital of the company, assuming full conversion of warrants and convertible securities

3401900 N.A

100

| Category code | Category of Shareholder | Number of Shares | Table (I)(a) | | Total shareholding as a percentage of total number of shares | | Number of shares | As a percentage of (A+B+C) ¹ | As a percentage of (A+B) | Shares Pledged or otherwise encumbered | As a percentage (IX) = (VIII)/(V)*100 |
|---------------|--|------------------|------------------------|--|--|----------------------------|------------------|---|--------------------------|--|---------------------------------------|
| | | | Total number of shares | Number of shares held in dematerialized form | As a percentage of (A+B) ¹ | As a percentage of (A+B+C) | | | | | |
| (I) | (II) | (III) | (IV) | (V) | (VI) | (VII) | (VIII) | (IX) | | | |
| (A) | Shareholding of Promoter and Indian | | | | | | | | | | |
| 1 | Indian | | | | | | | | | | |
| (a) | Individuals/ Hindu Undivided Family | 0 | 0 | 0 | 0.00 | 0.00 | | | | 0.00 | |
| (b) | Central Government/ State Government(s) | 0 | 0 | 0 | 0.00 | 0.00 | | | | 0.00 | |
| (c) | Bodies Corporate | 0 | 0 | 0 | 0.00 | 0.00 | | | | 0.00 | |
| (d) | Financial Institutions/ Banks | 0 | 0 | 0 | 0.00 | 0.00 | | | | 0.00 | |
| (e) | Any Others(Specify) | 29 | 1154900 | 973800 | 33.95 | 33.95 | | | | 0.00 | |
| (e-i) | | | | | 0.00 | 0.00 | | | | 0.00 | |
| (e-ii) | | | | | 0.00 | 0.00 | | | | 0.00 | |
| | Sub Total(A)(1) | 29 | 1154900 | 973800 | 33.95 | 33.95 | 0 | | | 0.00 | |
| 2 | Foreign | | | | | | | | | | |
| a | Individuals (Non-Residents Individuals/ Foreign Individuals) | 0 | 0 | 0 | 0.00 | 0.00 | | | | 0.00 | |
| b | Bodies Corporate | 0 | 0 | 0 | 0.00 | 0.00 | | | | 0.00 | |
| c | Institutions | 0 | 0 | 0 | 0.00 | 0.00 | | | | 0.00 | |
| d | Qualified Foreign Investor | 0 | 0 | 0 | 0.00 | 0.00 | | | | 0.00 | |
| e | Any Others(Specify) | 0 | 0 | 0 | 0.00 | 0.00 | | | | 0.00 | |
| e-i | | | | | 0.00 | 0.00 | | | | 0.00 | |
| e-ii | | | | | 0.00 | 0.00 | | | | 0.00 | |
| | Sub Total(A)(2) | 0 | 0 | 0 | 0.00 | 0.00 | 0 | | | 0.00 | |
| | Total Shareholding of Promoter and | 29 | 1154900 | 973800 | 33.95 | 33.95 | 0 | | | 0.00 | |
| (B) | Public shareholding | | | | | | | | | | |
| 1 | Institutions | | | | | | | | | | |
| (a) | Mutual Funds/ UTI | 0 | 0 | 0 | 0.00 | 0.00 | | | | 0.00 | |
| (b) | Financial Institutions / Banks | 1 | 10000 | 0 | 0.29 | 0.29 | | | | 0.00 | |

| | | | | | | | | | |
|--------|--|------|---------|---------|---|--------|--------|--|------|
| (d) | Venture Capital Funds | 0 | 0 | 0 | 0 | 0.00 | 0.00 | | |
| (e) | Insurance Companies | 0 | 0 | 0 | 0 | 0.00 | 0.00 | | |
| (f) | Foreign Institutional Investors | 0 | 0 | 0 | 0 | 0.00 | 0.00 | | |
| (g) | Foreign Venture Capital Investors | 0 | 0 | 0 | 0 | 0.00 | 0.00 | | |
| (h) | Qualified Foreign Investor | 0 | 0 | 0 | 0 | 0.00 | 0.00 | | |
| (i) | Any Other (specify) | 0 | 0 | 0 | 0 | 0.00 | 0.00 | | |
| (i-ii) | | | | | | 0.00 | 0.00 | | |
| (i-ii) | | | | | | | | | |
| | Sub-Total (B)(1) | 1 | 10000 | 0 | 0 | 0.29 | 0.29 | | |
| | B 2 Non-institutions | | | | | | | | |
| (a) | Bodies Corporate | 15 | 17600 | 5200 | | 0.52 | 0.52 | | |
| (b) | Individuals | | | | | 0.00 | 0.00 | | |
| | Individuals - i. Individual shareholders holding nominal share capital up to Rs 1 lakh | 2670 | 1862300 | 46100 | | 54.74 | 54.74 | | |
| ii. | Individual shareholders holding nominal share capital in excess of Rs. 1 | 15 | 331100 | 0 | | 9.73 | 9.73 | | |
| (c) | Qualified Foreign Investor | 0 | 0 | 0 | | 0.00 | 0.00 | | |
| (d) | Any Other (specify) | 15 | 26000 | | | 0.76 | 0.76 | | |
| (d-i) | | | | | | 0.00 | 0.00 | | |
| (d-ii) | | | | | | | | | |
| | Sub-Total (B)(2) | 2715 | 2237000 | 51300 | | 65.76 | 65.76 | | |
| | (B) Total Public Shareholding (B)= (B)(1)+(B)(2) | 2716 | 2247000 | 51300 | | 66.05 | 66.05 | | |
| | TOTAL (A)+(B) | 2745 | 3401900 | 1025100 | | 100.00 | 100.00 | | |
| (C) | Shares held by Custodians and against which Depository Receipts have been issued | | | | | | | | |
| 1 | Promoter and Promoter Group | | | | | 0.00 | 0.00 | | 0.00 |
| 2 | Public | | | | | 0.00 | 0.00 | | |
| | Sub-Total (C) | 0 | 0 | 0 | | 0 | 0 | | |
| | GRAND TOTAL (A)+(B)+(C) | 2745 | 3401900 | 1025100 | | 100.00 | 100.00 | | 0.00 |

(A)(v) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

| Sr. No. | Name of the shareholder | Details of Shares held | | | Encumbered shares (*) | | Details of warrants | | | Details of convertible securities | | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|---------|--------------------------|------------------------|-----------------------------------|------|------------------------------------|--|-------------------------|---|---------------------------------------|---|-------|--|
| | | Number of shares held | As a % of grand total (A)+(B)+(C) | No. | As a percentage (V)=(VI)/(III)*100 | As a % of grand total (A)+(B)+(C) of sub-clause (I)(a) | Number of warrants held | As a % total number of warrants of the same class | Number of convertible securities held | As a % total number of convertible securities of the same class | | |
| (i) | (ii) | (iii) | (iv) | (v) | (vi) | (vii) | (viii) | (ix) | (x) | (xi) | (xii) | |
| 1 | GANESH KUMAR YADAV | 2900 | 0.09 | 0.00 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | | |
| 2 | MANSOOR AHMED | 7500 | 0.22 | 0.00 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | | |
| 3 | SHABIR MENON | 8000 | 0.24 | 0.00 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | | |
| 4 | YEWAN KUMAR SAHU | 10000 | 0.29 | 0.00 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | | |
| 5 | SUDHIR DIXIT | 25000 | 0.73 | 0.00 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | | |
| 6 | PRAMOD VASWANI | 28600 | 0.84 | 0.00 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | | |
| 7 | HIMMAT LAL SAHU | 29200 | 0.86 | 0.00 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | | |
| 8 | ALOK CHAUDHARI | 29300 | 0.86 | 0.00 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | | |
| 9 | SHRI MAHESH KODWANI | 30000 | 0.88 | 0.00 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | | |
| 10 | RAVI VASWANI | 30000 | 0.88 | 0.00 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | | |
| 11 | HIMMAT LAL SAHU & SONS | 30000 | 0.88 | 0.00 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | | |
| 12 | NILESH N BUDHABHATTI | 30000 | 0.88 | 0.00 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | | |
| 13 | SURENDRA SINGH SANDHU | 30000 | 0.88 | 0.00 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | | |
| 14 | SHRI BHARTI DEVI KODWANI | 30500 | 0.90 | 0.00 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | | |
| 15 | GOKUL PRASAD SHARMA | 30600 | 0.90 | 0.00 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | | |
| 16 | SUDHIR SINGH MOURYA | 30700 | 0.90 | 0.00 | 0.00 | 0.00 | 0 | 0 | 0 | 0 | | |

(1)(d) Statement showing details of locked-in shares

| Sr. No. | Name of the shareholder | Number of locked-in shares | Locked-in shares as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at para 1(a) above) |
|---------|--------------------------|----------------------------|---|
| 1 | GANESH KUMAR YADAV | 2900 | 0.09 |
| 2 | MANSOOR AAHMED | 7500 | 0.22 |
| 3 | SHABIR MENON | 8000 | 0.24 |
| 4 | YEWAN KUMAR SAHU | 10000 | 0.29 |
| 5 | SUDHIR DIXIT | 25000 | 0.73 |
| 6 | PRAMOD VASWANI | 28600 | 0.84 |
| 7 | HIMMAT LAL SAHU | 29200 | 0.86 |
| 8 | ALOK CHAUDHARI | 29300 | 0.86 |
| 9 | SHRI MAHESH KODWANI | 30000 | 0.88 |
| 10 | RAVI VASWANI | 30000 | 0.88 |
| 11 | HIMMAT LAL SAHU & SONS | 30000 | 0.88 |
| 12 | NILESH N BUDHABHATTI | 30000 | 0.88 |
| 13 | SURENDRA SINGH SANDHU | 30000 | 0.88 |
| 14 | SHRI BHARTI DEVI KODWANI | 30500 | 0.90 |
| 15 | GOKUL PRASAD SHARMA | 30600 | 0.90 |
| 16 | SUDHIR SINGH MOURYA | 30700 | 0.90 |
| 17 | SATYAPRAKASH AGRAWAL | 30800 | 0.91 |
| 18 | KSN MURTHY | 31000 | 0.91 |
| 19 | MAHESH KODWANI (H.U.F) | 31300 | 0.92 |
| 20 | ALOK AWADHIYA | 31400 | 0.92 |
| 21 | ARUN KUMAR TAMRAKAR | 32000 | 0.94 |
| 22 | VISHWAJEET SINGH THAKUR | 32000 | 0.94 |
| 23 | UMESH KUMAR SAHU | 32800 | 0.96 |
| 24 | AVDHESH KUMAR JAIN | 32900 | 0.97 |
| 25 | AJAY CHOUDHARY | 33000 | 0.97 |
| 26 | KISHORE ATLANI | 109500 | 3.22 |
| 27 | RAJESH ATLANI | 110000 | 3.23 |
| 28 | SANA RAJESH ATLANI | 124900 | 3.67 |
| 29 | REETA ATLANI | 141000 | 4.14 |
| | TOTAL | 1154900 | 33.95 |

(II)(a) Statement showing details of Depository Receipts (DRs)

| Sr. No. | Type of outstanding DR (ADRs, GDRs, SDRs, etc.) | Number of outstanding DRs | Number of shares underlying outstanding DRs | Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} |
|--------------|--|------------------------------|--|--|
| 1 | | | | 0.00 |
| 2 | | | | 0.00 |
| 3 | | | | 0.00 |
| 4 | | | | 0.00 |
| 5 | | | | 0.00 |
| 6 | | | | 0.00 |
| 7 | | | | 0.00 |
| 8 | | | | 0.00 |
| 9 | | | | 0.00 |
| TOTAL | | 0 | 0 | 0.00 |



(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

| Sr. No. | Name of the DR Holder | Type of outstanding DR (ADRs, GDRs, SDRs, etc.) | Number of shares underlying outstanding DRs | Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above} |
|--------------|-----------------------|---|---|---|
| 1 | | | | 0.00 |
| 2 | | | | 0.00 |
| 3 | | | | 0.00 |
| 4 | | | | 0.00 |
| 5 | | | | 0.00 |
| 6 | | | | 0.00 |
| 7 | | | | 0.00 |
| 8 | | | | 0.00 |
| 9 | | | | 0.00 |
| TOTAL | | | 0 | 0.00 |

